

# Joint Flow Control and Resource Allocation in mm-Wave IAB Networks

Swaroop Gopalam

Joint work with Stephen Hanly and Phil Whiting

Macquarie University, Sydney, Australia  
swaroop.gopalam@mq.edu.au

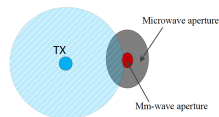
February 5, 2024

- 1 mmWave IAB Background
- 2 System Model
- 3 Static Slot Reservation Algorithm
- 4 DSR Algorithm
- 5 Numerical Results

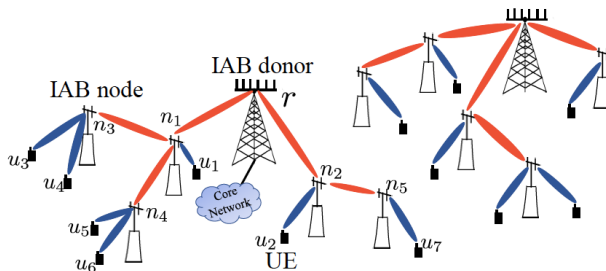
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- Massive bandwidth available in mm-wave spectrum
- Friis equation: the free-space path loss is proportional to the square of radio frequency

$$P_r = \underbrace{\frac{P_t}{4\pi R^2}}_{\text{receive spectral density}} \times \underbrace{\frac{\lambda^2}{4\pi} G_r}_{\text{effective receive aperture}} \times \underbrace{G_t}_{\text{transmit gain}}$$

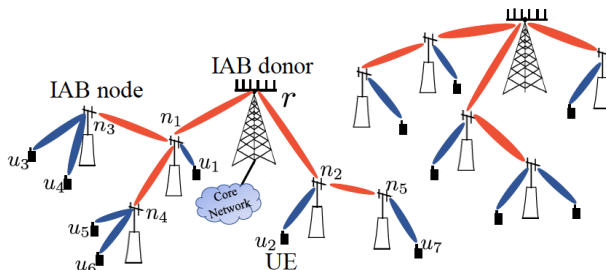


- Very small cells and beam-forming required.
- How to get traffic into small-cell BSs?



Red links are wireless backhaul, blue links are wireless access

- Dense networks required to overcome effects of blockage and pathloss.
- IAB: Integrated access and backhaul
- Multihop tree network for backhaul

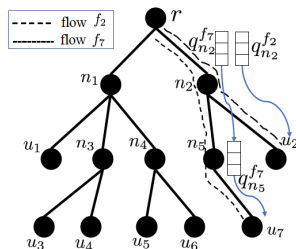


- How to prioritize backhaul links over UE links?
- How to control flow rates while scheduling links at different levels in the tree?
- How to distribute the required information through the tree?

Network Cross-layer Control: Jointly controlling flow rates and wireless link scheduling.

## Flows and Flow Rates

- Each UE corresponds to a flow of packets
- Flow traffic rate at the root node is controlled by the network (could be different for each flow)



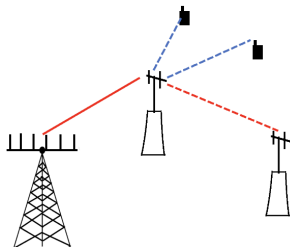
- Optimal Cross-layer Control : Network Utility Maximization subject to scheduling constraints.
  - Back-pressure algorithm for link scheduling.
  - Flow rate control using virtual queues.
- X. Lin and N. Shroff, "The impact of imperfect scheduling on cross-layer congestion control in wireless networks," IEEE/ACM Transactions on Networking, vol. 14, no. 2, pp. 302–315, 2006.
- X. Lin, N. Shroff, and R. Srikant, "A tutorial on cross-layer optimization in wireless networks," IEEE Journal on Selected Areas in Communications, vol. 24, no. 8, pp. 1452–1463, 2006.
- A. Eryilmaz, A. Ozdaglar, D. Shah, and E. Modiano, "Distributed cross-layer algorithms for the optimal control of multihop wireless networks," IEEE/ACM Transactions on Networking, vol. 18, no. 2, pp. 638–651, 2010.



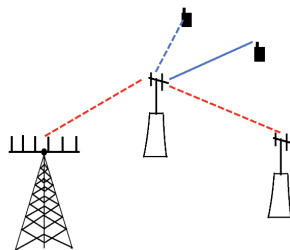
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## Link Scheduling Constraints

- One constraint is the half-duplex constraint
- Another is the single RF chain constraint



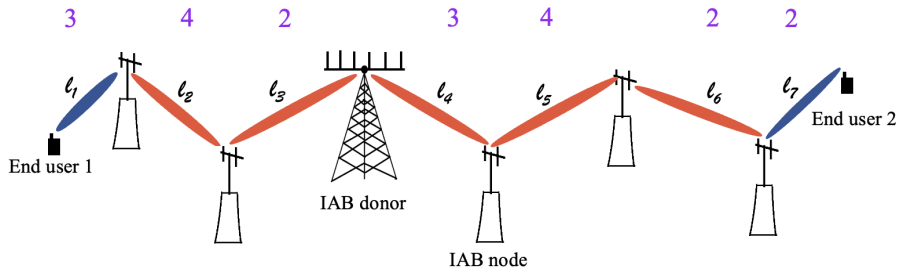
Half Duplex



Single RF chain

Either the parent backhaul link is active, or node  $n$  can schedule one downlink beam at a time, leading to *node-exclusive scheduling constraints*.

# Link Scheduling Problem



Load  $\tau_i = \text{Bits over link} / \text{Rate of link}$

- An optimal allocation uses the minimum total slots to allocate all the links.

Optimal Allocation with 7 slots total

slot	1	2	3	4	5	6	7
$l_1$	X	X	X				
$l_2$				X	X	X	X
$l_3$	X	X					
$l_4$			X	X	X		
$l_5$	X	X				X	X
$l_6$			X	X			
$l_7$	X	X					

A feasible allocation assigns slots to all the links without any conflicts.

Feasible Allocation.

slot	1	2	3	4	5	6	7	8	9
$l_1$	X	X	X						
$l_2$						X	X	X	X
$l_3$				X	X				
$l_4$	X	X	X						
$l_5$					X	X	X	X	
$l_6$			X	X					
$l_7$	X	X							

The **Static Slot Reservation (SSR) algorithm** converges to an optimal allocation starting from an arbitrary feasible allocation.

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- Initialization: Start with an arbitrary feasible allocation.

At time 1

slot	1	2	3	4	5	6	7	8	9
$l_1$	X	X	X						
$l_2$						X	X	X	X
$l_3$				X	X				
$l_4$	X	X	X						
$l_5$					X	X	X	X	
$l_6$			X	X					
$l_7$	X	X							

- Slot booking/reservations of a link are updated in the last slot of the current block of booked slots, e.g. Booking of  $l_7$  will be updated now.

At time 2

slot	2	3	4	5	6	7	8	9	10
$l_1$	X	X							
$l_2$					X	X	X	X	
$l_3$			X	X					
$l_4$	X	X							
$l_5$				X	X	X	X		
$l_6$		X	X						
$l_7$	X								



- Update Rule: At each update, each link books/reserves a new contiguous block of slots which do not overlap with its conflicting neighbors.

At time 3

slot	3	4	5	6	7	8	9	10	11
$l_1$	X								
$l_2$				X	X	X	X		
$l_3$		X	X						
$l_4$	X								
$l_5$			X	X	X	X			
$l_6$	X	X							
$l_7$			X	X					

- This process is repeated.

At time 4

slot	4	5	6	7	8	9	10	11	12
$l_1$							X	X	X
$l_2$			X	X	X	X			
$l_3$	X	X							
$l_4$						X	X	X	
$l_5$		X	X	X	X				
$l_6$	X								
$l_7$		X	X						

### Initial Allocation.

slot	5	6	7	8	9	10	11	12	13
$l_1$						X	X	X	
$l_2$		X	X	X	X				
$l_3$	X								
$l_4$					X	X	X		
$l_5$	X	X	X	X					
$l_6$					X	X	X		
$l_7$	X	X							

### Initial Allocation.

slot	6	7	8	9	10	11	12	13	14
$l_1$					X	X	X		
$l_2$	X	X	X	X					
$l_3$							X	X	
$l_4$				X	X	X			
$l_5$	X	X	X						
$l_6$				X	X	X			
$l_7$	X								

Allocation table showing all the allocated slots until  $t = 15$ .

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	X	X	X							X	X	X			
2						X	X	X	X					X	X
3				X	X							X	X		
4	X	X	X						X	X	X				
5					X	X	X	X				X	X	X	X
6			X	X					X	X					
7	X	X			X	X	X	X			X	X	X	X	

Allocation table from  $t = 4$  to  $t = 17$ .

	4	5	6	7	8	9	10	11	12	13	14	15	16	17
1							X	X	X					
2			X	X	X	X					X	X	X	X
3	X	X							X	X				
4						X	X	X					X	X
5		X	X	X	X				X	X	X	X		
6	X					X	X						X	X
7		X	X	X	X			X	X	X	X			

Allocation table from  $t = 4$  to  $t = 17$ .

	8	9	10	11	12	13	14	15	16	17	18	19	20
1			X	X	X						X	X	X
2	X	X					X	X	X	X			
3					X	X						X	X
4		X	X	X					X	X	X		
5	X				X	X	X	X				X	X
6		X	X						X	X			
7	X			X	X	X	X				X	X	X

Allocation table from  $t = 4$  to  $t = 17$ .

	8	9	10	11	12	13	14	15	16	17	18	19	20
1			X	X	X						X	X	X
2	X	X					X	X	X	X			
3					X	X						X	X
4		X	X	X					X	X	X		
5	X				X	X	X	X				X	X
6		X	X						X	X			
7	X			X	X	X	X				X	X	X



- The algorithm converges in linear time, at most  $\sum_i \tau_i$  slots, to a steady state where allocations are optimal.

Allocation table from  $t = 14$  to  $t = 20$ .

	13	14	15	16	17	18	19	20	21	22	23	24	$\tau_i$
$l_1$						X	X	X					3
$l_2$		X	X	X	X				X	X	X	X	4
$l_3$	X						X	X					2
$l_4$				X	X	X					X	X	3
$l_5$	X	X	X				X	X	X	X			4
$l_6$				X	X						X	X	2
$l_7$	X	X				X	X	X	X				2

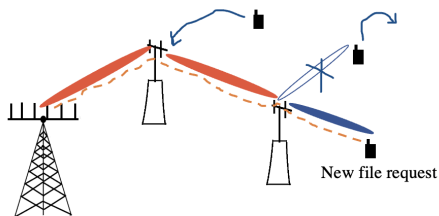
Optimal Allocation with 7 slots total

slot	1	2	3	4	5	6	7	$\rho_i = \tau_i/7$
$l_1$	X	X	X					$3/7$
$l_2$				X	X	X	X	$4/7$
$l_3$	X	X						$2/7$
$l_4$			X	X	X			$3/7$
$l_5$	X	X				X	X	$4/7$
$l_6$			X	X				$2/7$
$l_7$	X	X						$2/7$

Flow rates under the SSR algorithm can be controlled by manipulating the loads  $\tau_i$ 's.

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In a dynamic setup, there will be flow arrivals and departures. Hence, flow rate control is required.



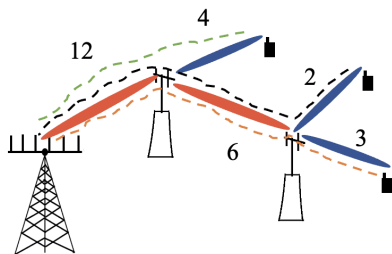
Dynamic Setup

## Dynamic Slot Reservation (DSR) Algorithm

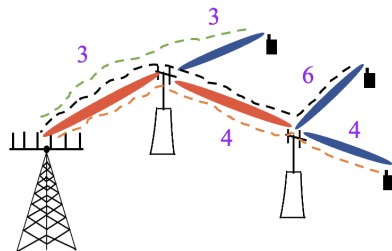
- Scheduling: Use the slot reservation algorithm for scheduling links.
- Flow Control: Adapt the loads depending on the state of the network.

## DSR algorithm: Flow Control Rule

- In a given state, the load of a link is proportional to the number of flows over the link normalized w.r.t rate of the link.



Flows & Link Rates

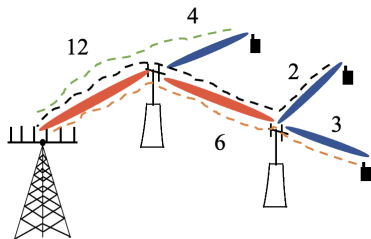


Load  $\propto$  Flows over link / Rate of link

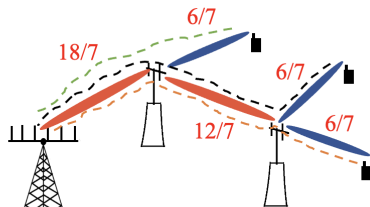
$$\tau_i = 12 \times \frac{\# \text{ flows}}{\text{rate}}$$

# DSR algorithm: Steady state flow rates

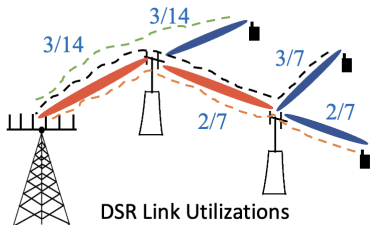
- A **max-min** steady state flow rate is guaranteed for each flow in the network, e.g. The flow rate  $6/7$  is the max-min rate for this setup.



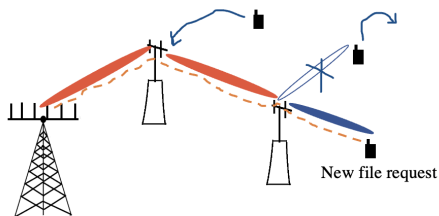
Flows & Link Rates



DSR Steady-state Flow Rates



DSR Link Utilizations



Dynamic Setup

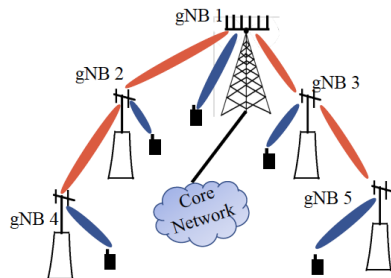
- The stability region can be defined as set of the rate vector of flow arrivals that can be supported.
- The DSR algorithm achieves the stability region, same as the optimal cross-layer control algorithm using back-pressure algorithm.

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mm-wave network simulation:

- gNBs 1-5 are IAB nodes
- gNB1 is root (donor) node
- Backhaul link distances randomly chosen between 100-600m, have rates [13.2,8.9,11.8,12.6] Gbps.
- UE links between 0-300m



Simulated Network

- UEs at gNBs 2 and 3 have a one-hop backhaul delay
- UEs at GNBs 4 and 5 have a two-hop backhaul delay

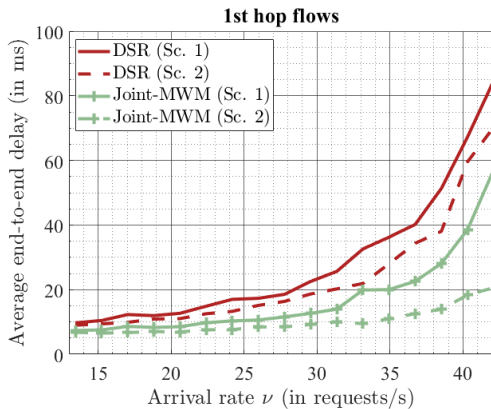
## Simulation Parameters:

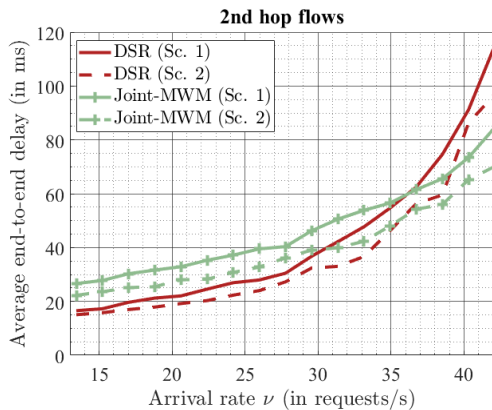
- i.i.d Poisson process of UE file requests (flows) with rate  $\nu$  at each gNB.
- File sizes i.i.d exponential with mean 50 Mb.
- Access links in LoS state ( $K = 13$  dB) with probability 0.9, and NLoS state ( $K = 6$  dB) o.w.
- In Scenario 1, the access link rates are fixed.
- In Scenario 2, the link access rates are modelled as a Gilbert-Elliot process between LoS and NLoS sates.

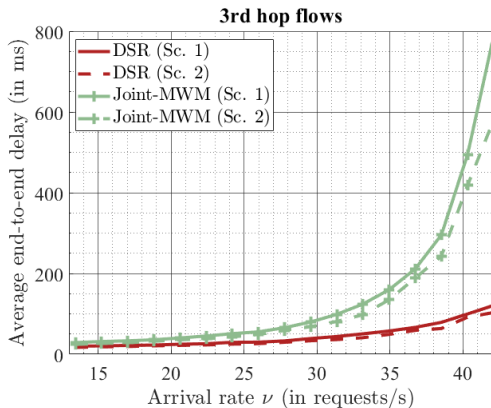
TABLE I  
SIMULATION PARAMETERS

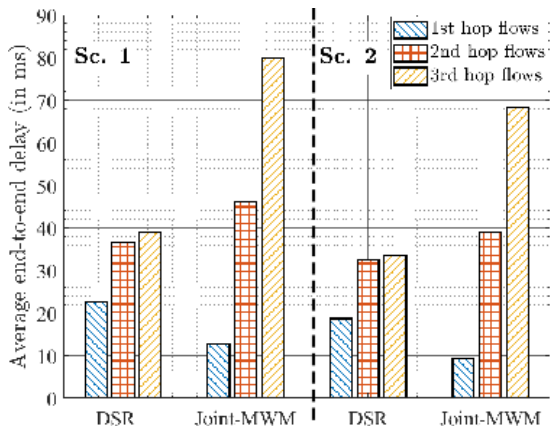
Parameter	Value
Carrier frequency	23 GHz
Bandwidth	1 GHz
Propagation model	3GPP Urban Micro
Slot duration	125 $\mu$ s
Packet size	100 Kb
$\tau_s$	200
Noise spectral density	-174 dBm/Hz
gNB transmit power	30 dBm
Beamforming gain	30 dB (for access), 40 dB (for backhaul)
Noise figure	5 dB (for gNB), 7 dB (for UE)

- **Joint-MWM algorithm**
  - Optimal Cross-layer control algorithm solves proportional fair utility maximization for flow rates and uses back-pressure scheduling algorithm in each slot.
- **DSR algorithm**
  - Our proposed slot reservation algorithm.









- This talk is about this paper but doesn't cover everything in it

S. Gopalam, S.V. Hanly, P. Whiting, “Distributed Resource Allocation and Flow Control Algorithms for mmWave IAB Networks” IEEE/ACM Transactions on Networking, 2023 (IEEE Early Access).